

Please print clearly in CAPITAL LETTERS

The minimum initial investment in each share class is \$2,500, with a minimum subsequent investment of \$50.

If you have any questions or need any help filling out the application, please call **(866) 447-4228**.

New Account Application

Do not use this form for IRA accounts.

After you have completed and signed this application, Please mail to:

CATALYST FUNDS c/o GEMINI FUND SERVICES, LLC PO Box 541150 Omaha, NE 68154

Distributed by Northern Lights Distributors, LLC <u>www.catalystmf.com</u>

1. ACCOUNT OWNERSHIP

Please provide complete information for EITHER A, B, C or D:

A. INDIVIDUAL OR JOINT (Please check one):

□ Individual □ Joint Account* *Tenants with Rights of Survivorship will be assumed, unless otherwise specified.

			/ /	
Name		Social Security Number	Date of Birth	
			/ /	
Joint Owner		Social Security Number	Date of Birth	
Email				
Citizenship [U.S. or Resident Alien	□ Other (please specify)		
	IFTS TO MINORS ACCOU RANSFERS TO MINORS			
			/ /	
Custodian's Name		Custodian's Social Security Number	Custodian's Date of Birth / /	
Minor's Name		Minor's Social Security Number	Minor's Date of Birth	
Minor's State of Res	idence		Email	
	lude a copy of the title page, a n may result in a delay in proce	authorized individual page and signature page of the T essing your application.)	rust Agreement . Failure to provide this	
Trust or Plan Name		Email		
Trust Date (mo/day/yr)		Employer or Trust	Employer or Trust Taxpayer Identification Number	
Trustee's (Authorize	d Signer's) Name (First, Middle	e Initial, Last)		
Trustee's Date of Birth (mo/day/yr)		Trustee's Social Se	Trustee's Social Security Number	
Co-Trustee's (Autho	rized Signer's) Name (First, M	iddle Initial, Last)		
Co-Trustee's Date o	f Birth (mo/day/yr)	Co-Trustee's Social	Security Number	

D. CORPORATIONS OR OTHER ENTITIES (Include a copy of one of the following documents: registered articles o government-issued business license, partnership papers, plan documents or other official documentation that verifies the ent authorized individuals. Failure to provide this documentation may result in a delay in processing your application.)			d articles of incorporation, fies the entity and lists the			
	□ C Corporation	□ S Corporation	□ Corporation	Partnership	Government	Entity
	Other (please specify If no classification is pressing to the specify of the specify of the specific of th	y) ovided, per IRS re	egulations, your acco	ount will default to an	S Corporation.	
Nar	me of Corporation or Other E	susiness Entity	Tax ID Num	ber	Email	
Aut	thorized Individual	Social Security Nu	mber		Date of B	irth
Co-	Co-Authorized Individual Social Security Number		mber		Date of Birth	
2. M/	AILING AND CONT	ACT INFORM	ATION			
LE	GAL ADDRESS (Must be	a street address)				
Stre	eet Address			Daytime Telephone		
City	y, State, Zip			Evening Telephone		
	Please send mail to the addr	ess below. Please pr	ovide your primary leg	al address above, in add	ition to any mailing a	ddress (if different).
Ma	ailing Address			City, State, Zip		
3. INIT	IAL INVESTMENT	(The minimum initia	l investment in each cl	ass is \$2,500.)		
					Share Class	
Catalyst I	Buyback Strategy Fund		\$	Class A	Class C	Class I
Catalyst I	Dynamic Alpha Fund		\$		Class C	Class I
Catalyst I	Enhanced Income Strateg	ıy Fund	\$		Class C	Class I
Catalyst (Growth of Income Fund		\$		Class C	Class I
•	Hedged Commodity Strate	•••	\$	Class A	Class C	Class I
5	Hedged Futures Strategy	Fund	\$		Class C	Class I
5	Insider Buying Fund			Class A	Class C	Class I
•	Insider Income Fund		\$		Class C	Class I
•	IPOx Allocation Fund		\$		Class C	Class I
5	MLP & Infrastructure Fun	d	\$		Class C	Class I
•	Multi-Strategy Fund		\$		Class C	Class I
-	Small-Cap Insider Buying	Fund	\$		Class C	Class I
5	Systematic Alpha Fund		\$		Class C	Class I
-	CIFC Floating Rate Incom		\$			Class I
-	Exceed Defined Risk Fund		\$		Class C	Class I
•	Exceed Defined Shield Fu		\$			Class I
-	Lyons Tactical Allocation		\$			Class I
•	MAP Global Balanced Fun	d	\$			Class I
•	MAP Global Equity Fund		\$			Class I
•	Millburn Hedge Strategy F	und	\$			Class I
-	SMH High Income Fund	- Turnel	\$		□ Class C	Class I Class I
-	SMH Total Return Income		\$		Class C Class C	Class I Class I
catalyst/	Stone Beach Income Opp	ortunity fund	\$	Class A	Class C	Class I

Total \$_

Make check payable to Catalyst Funds.

If investing by wire: Call (866) 447-4228 and indicate the amount of the wire \$_____

Third Party checks are not accepted.

All dividends and capital gains will be reinvested in shares of the Fund that pay them unless this box is checked.

□ Please pay all dividends and capital gains in cash.

E DEDUCED SALES CHADCE Complete this section if you must	I for a reduced cales charge. See Drespectus for Terms & Conditions		
5. REDUCED SALES CHARGE Complete this section if you qualify	for a reduced sales charge. See Prospectus for Terms & Conditions.		
Letter of Intent You can reduce the sales charge you pay on Class A shares by investing a certain amount over a 13-month period. Please indicate the total amount you intend to invest over the next 13-months.	Rights of Accumulation If you already own Class A shares of the Catalyst Funds, you may already be eligible for a reduced sales charge on Class A share purchases. Please provide the account number(s) below to qualify (if eligible). Account No.		
\$500,000 \$1,000,000	Account No.		
Net Asset Value (NAV). I have read the prospectus and qualify for a c representatives may complete the Dealer Information section as proof Reason for Waiver:	omplete waiver of the sales charge on Class A shares. Registered f of eligibility.		
6. AUTOMATIC INVESTMENT PLAN (AIP)			
	o deduct money directly from your checking account every month. (ACH). If you choose this option, please complete Section 8 and		
Please transfer \$ (\$100 minimum) from my bank ad	ccount:		
□ Monthly □ Quarterly on the day of the	\Box Monthly \Box Quarterly on the day of the month Beginning://		
Important Note: If the AIP date falls on a holiday or weekend the deduction from your checking or savings account will occur on the next business day.			
7. AUTOMATIC WITHDRAWAL PLAN (AWP)			
As specified below, please withdraw from Catalyst Funds account	nt:		
<pre>\$ exact dollars per period (\$100 minimun</pre>	n)		
Send checks: Monthly Quarterly Beginning	j://		
Send checks to: Address of record Bank of reco	ord (See Section 8)		
Name	Daytime Telephone		
City, State, Zip	Evening Telephone		
8. BANK INFORMATION			
I authorize the Fund to purchase shares through the Automatic Inve bank is a member.	estment Plan by the Automated Clearing House of which my		
Type of Account: Checking Savings			
Name on Bank Account	Bank Account Number		
Bank Name	Bank Routing/ABA Number		

Please attach a voided check from your account.

9. COST BASIS METHOD

Note: The default cost basis calculation method for your new account will be Average Cost. If you wish to elect a different cost basis method, please contact the Fund to obtain a Cost Basis Election Form.

10. DEALER/REGISTERED INVESTMENT ADVISOR INFORMATION

If opening your account through a Broker/Dealer or Registered Investment Advisor, please have them complete this section.

Dealer Name	Representative's Last Name,	First Name	
DEALER HEAD OFFICE	REPRESENTATIVE'S BRANCH OFFICE		
Address	Address		
City, State, ZIP	City, State, ZIP		
Telephone Number	Rep Telephone Number	Rep ID Number	
Email Address	Rep Email Address		
	Branch ID Number		
	Branch Telephone Number (if diffe	rent than Rep Phone Number)	

11. STATE ESCHEATMENT LAWS

Escheatment laws adopted by various states require that personal property that is deemed to be abandoned or ownerless, including mutual fund shares and bank deposits, be transferred to the state. Under such laws, ownership of your Fund shares may be transferred to the appropriate state if no activity occurs in your account within the time period specified by applicable state law. The Fund retains a search service to track down missing shareholders and will escheat an account only after several attempts to locate the shareholder have failed. To avoid this from happening to your account, please keep track of your account and promptly inform the Fund of any change in your address.

12. SIGNATURE(S) & CERTIFICATION (REQUIRED)

We must have signatures to process your Application and to certify your Taxpayer Identification number. IRS regulations require your signature to avoid any backup withholding.

W-9 Certification: Under penalty of perjury:

- (a) I certify that the number shown on this form is my/our current Social Security number(s) or Taxpayer Identification number(s).
- (b) I am not subject to backup withholding because; (1) I am exempt from backup withholding, or (2) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of failure to report all interest or dividends, or (3) the IRS has notified me that I am no longer subject to backup withholding.
- (c) I am a U.S. person (including a resident alien.)
- (d) I am exempt from FATCA reporting.

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number/ Tax ID number and other information that will allow us to identify you. We may also ask to see other identifying documents. Until you provide the information or documents we need, we may not be able to open an account or effect any additional transactions for you.

When opening an account for a foreign business, enterprise or a non-U.S. person that does not have an identification number, we require alternative government-issued documentation certifying the existence of the person, business or enterprise.

The undersigned represents and warrants that:

- I have full authority and am of legal age to purchase shares of the Fund;
- I have received and read a current prospectus for Catalyst Funds and agree to be bound by the terms contained therein; and
- The information contained on this New Account Application is complete and accurate.

If Fund shares are being purchased on behalf of an Investment Company (as that term is defined under the Investment Company Act of 1940, as amended ("the 1940 Act"), including investment companies that are not required to register under the 1940 Act pursuant to section 3(c)(1) or 3(c)(7) exemptions), I hereby certify that said Investment Company will limit its ownership to 3% or less of the Fund's outstanding shares.

The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

Signature of owner (or custodian)

Signature of joint owner (or corporate officer, partner or other)

Trustee (if applicable)

TO CONTACT US:

<u>By Telephone</u> Toll-free (866) 447-4228 In Writing Catalyst Funds c/o Gemini Fund Services, LLC PO Box 541150 Omaha, NE 68154 Or Via Overnight Delivery 17605 Wright Street, Suite 2 Omaha, NE 68130 Internet www.catalystmf.com

Distributed by Northern Lights Distributors, LLC

Date

Date

Date

PRIVACY NOTICE

MUTUAL FUND SERIES TRUST

Rev. June 2011

	WHAT DOES MUTUAL FUND SERIES TRUST DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	 The types of personal information we collect and share depends on the product or service that you have with us. This information can include: Social Security number and wire transfer instructions account transactions and transaction history investment experience and purchase history When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Mutual Fund Series Trust chooses to share; and whether you can

Reasons we can share your **Does Mutual Fund Series** Can you limit this personal information: **Trust share information?** sharing? For our everyday business purposes - such as to process your transactions, maintain your account(s), respond to YES NO court orders and legal investigations, or report to credit bureaus. For our marketing purposes - to NO We don't share offer our products and services to you. For joint marketing with other NO We don't share financial companies. For our affiliates' everyday business purposes - information about your NO We don't share transactions and records. For our affiliates' everyday business purposes - information about your NO We don't share credit worthiness. NO For our affiliates to market to you We don't share NO For non-affiliates to market to you We don't share

QUESTIONS? Call 1-866-447-4228

limit this sharing.

PRIVACY NOTICE MUTUAL FUND SERIES TRUST

What we do:	
How does Mutual Fund Series Trust protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Our service providers are held accountable for adhering to strict policies and procedures to prevent any misuse of your nonpublic personal information.
How does Mutual Fund Series Trust collect my personal information?	 We collect your personal information, for example, when you open an account or deposit money direct us to buy securities or direct us to sell your securities seek advice about your investments We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.
Why can't I limit all sharing?	 Federal law gives you the right to limit only: sharing for affiliates' everyday business purposes – information about your creditworthiness. affiliates from using your information to market to you. sharing for nonaffiliates to market to you. State laws and individual companies may give you additional rights to limit sharing.

Definitions	
Affiliates	 Companies related by common ownership or control. They can be financial and non-financial companies. Mutual Fund Series Trust has no affiliates.
Non-affiliates	 Companies not related by common ownership or control. They can be financial and non-financial companies. Mutual Fund Series Trust doesn't share with non-affiliates so they can market to you.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you.<i>Mutual Fund Series Trust doesn't jointly market.</i>